

## ADDITIONAL INFORMATION ON DIRECTORS SEEKING RE-ELECTION

Each of Mr Wee Ee-chao and Mr Gn Hiang Meng has confirmed that, save in respect of questions (j)(i) and (j)(iv), his answer to each of the questions set out under the section titled "Information required" in Appendix 7.4.1 of the SGX-ST Listing Manual is in the negative. In respect of questions (j)(i) and (j)(iv), kindly refer to "Additional Disclosure by Mr Wee Ee-chao on (j)(i) and (j)(iv)" and "Additional Disclosure by Mr Gn Hiang Meng on (j)(i) and (j)(iv)":

- (a) Whether at any time during the last 10 years, an application or a petition under any bankruptcy law of any jurisdiction was filed against him or against a partnership of which he was a partner at the time when he was a partner or at any time within 2 years from the date he ceased to be a partner?
- (b) Whether at any time during the last 10 years, an application or a petition under any law of any jurisdiction was filed against an entity (not being a partnership) of which he was a director or an equivalent person or a key executive, at the time when he was a director or an equivalent person or a key executive of that entity or at any time within 2 years from the date he ceased to be a director or an equivalent person or a key executive of that entity, for the winding up or dissolution of that entity or, where that entity is the trustee of a business trust, that business trust, on the ground of insolvency?
- (c) Whether there is any unsatisfied judgement against him?
- (d) Whether he has ever been convicted of any offence, in Singapore or elsewhere, involving fraud or dishonesty which is punishable with imprisonment, or has been the subject of any criminal proceedings (including any pending criminal proceedings of which he is aware) for such purpose?
- (e) Whether he has ever been convicted of any offence, in Singapore or elsewhere, involving a breach of any law or regulatory requirement that relates to the securities or futures industry in Singapore or elsewhere, or has been the subject of any criminal proceedings (including any pending criminal proceedings of which he is aware) for such breach?
- (f) Whether at any time during the last 10 years, judgement has been entered against him in any civil proceedings in Singapore or elsewhere involving a breach of any law or regulatory requirement that relates to the securities or futures industry in Singapore or elsewhere, or a finding of fraud, misrepresentation or dishonesty on his part, or he has been the subject of any civil proceedings (including any pending civil proceedings of which he is aware) involving an allegation of fraud, misrepresentation or dishonesty on his part?
- (g) Whether he has ever been convicted in Singapore or elsewhere of any offence in connection with the formation or management of any entity or business trust?
- (h) Whether he has ever been disqualified from acting as a director or an equivalent person of any entity (including the trustee of a business trust), or from taking part directly or indirectly in the management of any entity or business trust?
- (i) Whether he has ever been the subject of any order, judgement or ruling of any court, tribunal or governmental body, permanently or temporarily enjoining him from engaging in any type of business practice or activity?

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- (j) Whether he has ever, to his knowledge, been concerned with the management or conduct, in Singapore or elsewhere, of the affairs of:
- (i) any corporation which has been investigated for a breach of any law or regulatory requirement governing corporations in Singapore or elsewhere; or
  - (ii) any entity (not being a corporation) which has been investigated for a breach of any law or regulatory requirement governing such entities in Singapore or elsewhere; or
  - (iii) any business trust which has been investigated for a breach of any law or regulatory requirement governing business trusts in Singapore or elsewhere; or
  - (iv) any entity or business trust which has been investigated for a breach of any law or regulatory requirement that relates to the securities or futures industry in Singapore or elsewhere,
- in connection with any matter occurring or arising during that period when he was so concerned with the entity or business trust?
- (k) Whether he has been the subject of any current or past investigation or disciplinary proceedings, or has been reprimanded or issued any warning, by the Monetary Authority of Singapore or any other regulatory authority, exchange, professional body or government agency, whether in Singapore or elsewhere?

## ADDITIONAL INFORMATION ON DIRECTORS SEEKING RE-ELECTION

### ADDITIONAL DISCLOSURE BY MR WEE EE-CHAO ON (J)(I) AND (J)(IV)

#### j(i) and j(iv)

Mr Wee Ee-chao is a director of UOB Kay Hian Private Limited ("UOBKH").

As noted in the Monetary Authority of Singapore ("MAS") media release on 31 August 2022:

1. The MAS has imposed on UOBKH a composition penalty of \$375,000 for its failures to comply with business conduct requirements under the Securities and Futures (Licensing and Conduct of Business) Regulations (SFR) and anti-money laundering and countering the financing of terrorism (AML/CFT) requirements under MAS Notice SFA04-N02.
2. These failures occurred between 2012 to 2018. They relate to inadequate controls for UOBKH's corporate finance business and breaches in verifying customer's source of wealth and conducting due diligence for third-party receipt of monies.

As noted in Singapore Exchange Regulation ("SGX RegCo") media release on 27 December 2022:

3. SGX RegCo has imposed requirements on UOBKH in relation to IPO/RTO activities after considering the action taken by the MAS.
4. These requirements include not accepting new mandates to act as an issuer manager or full sponsor for SGX Mainboard/Catalist IPO and RTO submissions, and certain requirements in relation to introducing activities as a full sponsor.

UOBKH has taken remedial measures to enhance its internal policies and controls, and has appointed an independent external party to evaluate these measures. UOBKH will work with the external party to implement recommendations raised and ensure that the remedial measures are effective in addressing MAS and SGX's concerns.

## ADDITIONAL INFORMATION ON DIRECTORS SEEKING RE-ELECTION

### ADDITIONAL DISCLOSURE BY MR GN HIANG MENG ON (J)(I) AND (J)(IV)

j(i)

Mr Gn was an independent non-executive director of TEE International Limited ("TEEI") from 1 June 2013 to 21 January 2021.

TEEI had, on 4 March 2020, received an order under Section 20 of the Criminal Procedure Code 2010 of Singapore ("CPC") to produce various categories of documents to the Commercial Affairs Department ("CAD") to assist with an investigation into an offence under the Penal Code 1871 of Singapore pursuant to the provisions of the CPC.

TEEI had also received a notice to provide assistance to gain access to the computers containing corporate email accounts and instant messages/chat accounts assigned to (i) the Company's former Group Chief Executive Officer, (ii) the Group Chief Financial Officer and (iii) the Financial Controller.

On 4 March 2020, the CAD took certain documents and items from the premises of TEEI.

Mr Gn subsequently resigned as an independent director of TEEI on 21 January 2021 having considered that the matters relating to the past unauthorised remittances had been substantially addressed by TEEI.

On 3 December 2021, the SGX-ST Listings Disciplinary Committee issued public reprimands to TEEI and two of its former executives.

j(iv)

Mr Gn was a non-executive director of UOB Asia Limited which in November 2000 was served with 2 charges under Section 97 and 2 charges under Section 99 of Singapore's Securities Industry Act ("SIA") in respect of its handling of the initial public offering of shares by e.World of Sports.com Ltd and Hua Kok International Limited.

UOB Asia Limited (wholly owned subsidiary of United Overseas Bank Limited) pleaded guilty to the 2 charges under Section 99 of the SIA and was fined S\$400,000. The 2 other charges under Section 97 were not proceeded with but were taken into consideration for the purpose of sentencing.